

Positivity Bounds for Scalar Field Theories

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Background & Motivation

S-Matrix:

In Quantum Field Theory, the S-matrix (scattering matrix) is an important object used to calculate the scattering amplitude for possible interactions.

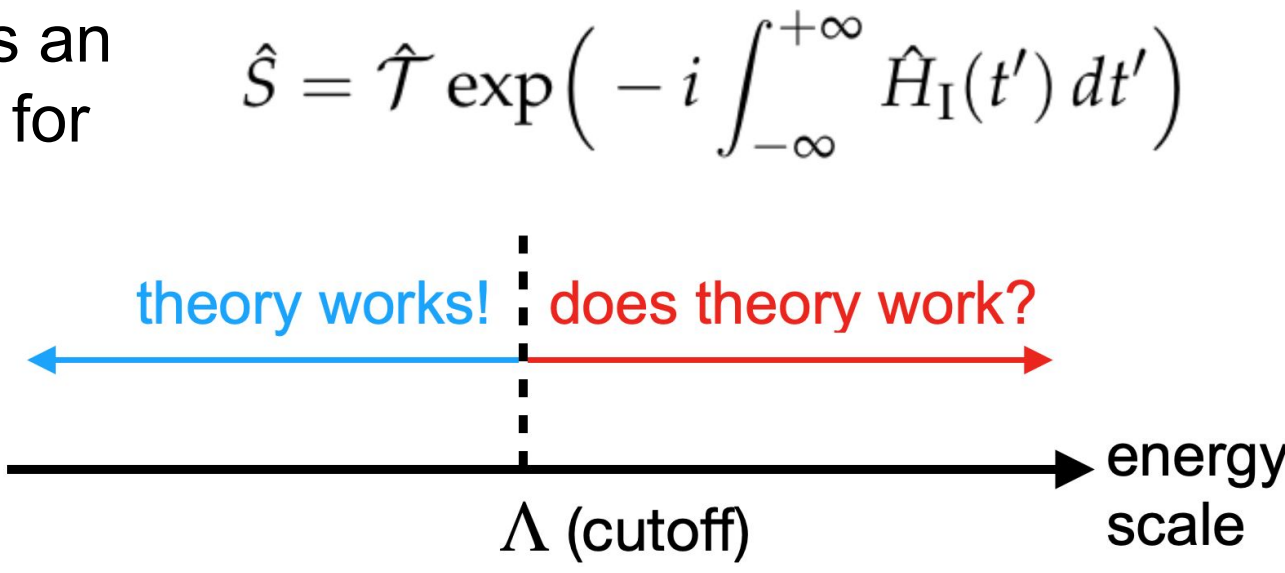
Low Energy Effective Field Theory (LEEFT) :

Describes the low energy part of the physics without getting tied up to the high energy regime.

- **If works:** local, causal, unitary and Lorentz invariant — “UV complete”.
- **If does not work:** non-local. Possible manifestations: superluminal signal propagation, violation of analyticity constraints, etc.

Q: How to determine?

A: Positivity bounds! These place constraints on the LEEFTs. Violating any of them directly implies the absence of any possible well-defined UV completion for the theory.

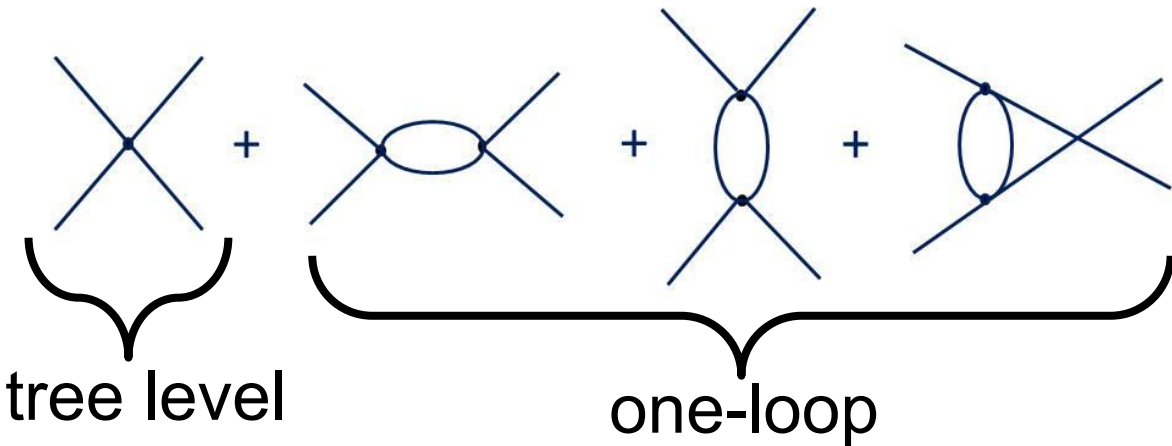


Our Work

1. Massive scalar field ϕ , calculate 2-2 scattering amplitude, $A(s,t)$, for:

$$\mathcal{L} = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - \frac{m^2}{2} \phi^2 + \frac{g}{\Lambda^4} (\partial_\mu \phi \partial^\mu \phi)^2$$

to one-loop order (low energy regime).



2. Regulate the resulting loop momentum integrals using **dimensional regularisation** and **renormalisation**, since they are divergent in 4 spacetime dimensions ($d = 4$).

Dimensional regularisation: Introduce to the coupling an arbitrary mass parameter, μ , and work in $d = 4 - \epsilon$ dimensions to force the integrals to converge. This isolates the divergences in the form of local $1/\epsilon$ terms.

Renormalisation: Absorb the divergences into various couplings whilst keeping the physical (and hence measurable) parameters finite and independent of μ .

3. Apply Cauchy’s integral formula in the complex s plane at fixed t .

$$\mathcal{A}(s,t) = \frac{1}{2\pi i} \oint_C \frac{\mathcal{A}(s',t)}{s' - s} ds' \quad (\mathcal{A}(s,t) = \mathcal{A}(u,t) \text{ due to crossing symmetry})$$

Using the contour shown, we get contributions from the two physical poles, two branch cuts, and two subtraction functions, $a(t)$ & $b(t)$.

$$\mathcal{A}(s,t) = a(t) + b(t)s + \frac{\text{Res}(s = m^2, t)}{m^2 - s} + \frac{\text{Res}(s = 3m^2 - t, t)}{3m^2 - s - t} + \int_{4m^2}^{\infty} \left[\frac{(s - \mu_p)^2 \text{Im}\mathcal{A}(\mu, t)}{(\mu - \mu_p)^2 (\mu - s)} + \frac{(u - \mu_p)^2 \text{Im}\mathcal{A}(\mu, t)}{(\mu - \mu_p)^2 (\mu - u)} \right] \frac{d\mu}{\pi}$$

The latter arise from introducing an arbitrary double pole at subtraction point μ_p , ensuring $\mathcal{A}(s',t)$ converges in the limit $|s'| \rightarrow \infty$ (since $|\mathcal{A}(s,t)| < s^2$).

5. Find the imaginary part of the amplitude, $\text{Im}[\mathcal{A}(s,t)]$, resulting from contour integration around the two branch cuts.

6. Subtract physical poles ($s = m^2, u = m^2$) and differentiate twice to remove subtraction functions (taking $\mu_p = 0$). **Leading positivity bound:** $\partial_s^2 \mathcal{A}'(s,t)|_{s=t=0} > 0$

7. Since we trust LEEFT up to some energy cut-off Λ^* ($\Lambda^* > 4m^2$), a stronger argument can be made by shifting the branch cut:

$$\begin{aligned} \partial_s^2 \tilde{\mathcal{A}}'(s,t)|_{s=t=0} &= \partial_s^2 \mathcal{A}'(s,t)|_{s=t=0} - \frac{2}{\pi} \int_{4m^2}^{\Lambda^{*2}} d\mu \frac{\text{Im}\mathcal{A}(\mu,0)}{\mu^3} - \frac{2}{\pi} \int_{4m^2}^{\Lambda^{*2}} d\mu \frac{\text{Im}\mathcal{A}(\mu,0)}{(\mu - 4m^2)^3} \\ &= \frac{2}{\pi} \int_{\Lambda^{*2}}^{\infty} d\mu \frac{\text{Im}\mathcal{A}(\mu,0)}{\mu^3} + \frac{2}{\pi} \int_{\Lambda^{*2}}^{\infty} d\mu \frac{\text{Im}\mathcal{A}(\mu,0)}{(\mu - 4m^2)^3} \\ &> 0 \end{aligned}$$

Results & Conclusion

- Leading positivity bound: $\partial_s^2 \mathcal{A}'(s,t)|_{s=t=0} = \frac{g}{2\Lambda^4} + \frac{3g^2 m^4}{64\pi^2 \Lambda^8} \left[\ln\left(\frac{\mu^2}{m^2}\right) \right] > 0$

As expected, the loop contribution vanishes when $\mu = m$. Consequently: $g > 0$

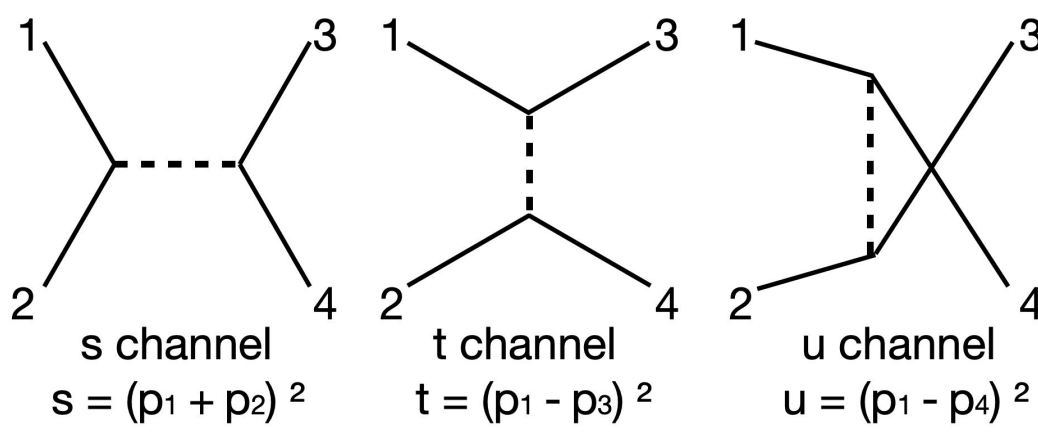
- Leading improved positivity bound: $\partial_s^2 \tilde{\mathcal{A}}'(s,t)|_{s=t=0} = \frac{g}{2\Lambda^4} + \frac{3g^2 m^4}{64\pi^2 \Lambda^8} \left[\ln\left(\frac{\mu^2}{m^2}\right) \right] - \frac{g}{10240\pi\Lambda^8} \left[\sqrt{1 - \frac{4m^2}{\Lambda^{*2}}} \left(\frac{3}{2} g\Lambda^{*8} - \frac{64}{3} g\Lambda^{*6} m^2 + \frac{412}{3} g\Lambda^{*4} m^4 - 624 g\Lambda^{*2} m^6 - \frac{4232}{5} g m^8 + \frac{256 g m^{10}}{5\Lambda^{*2}} + \frac{128 g m^{12}}{5\Lambda^{*4}} \right) + 320\Lambda^{*4} \pi \Lambda^4 - 5120\Lambda^{*2} m^2 \pi \Lambda^4 + \frac{20480 m^6 \pi \Lambda^4}{\Lambda^{*2}} - \frac{5120 m^8 \pi \Lambda^4}{\Lambda^{*4}} - 96(11 g m^8 + 160 m^4 \pi \Lambda^4) \ln\left(1 - \sqrt{1 - \frac{4m^2}{\Lambda^{*2}}}\right) + 96(11 g m^8 - 160 m^4 \pi \Lambda^4) \ln\left(1 + \sqrt{1 - \frac{4m^2}{\Lambda^{*2}}}\right) \right]$
- Interaction coupling g must satisfy this bound in order to have a well-defined UV completion

> 0

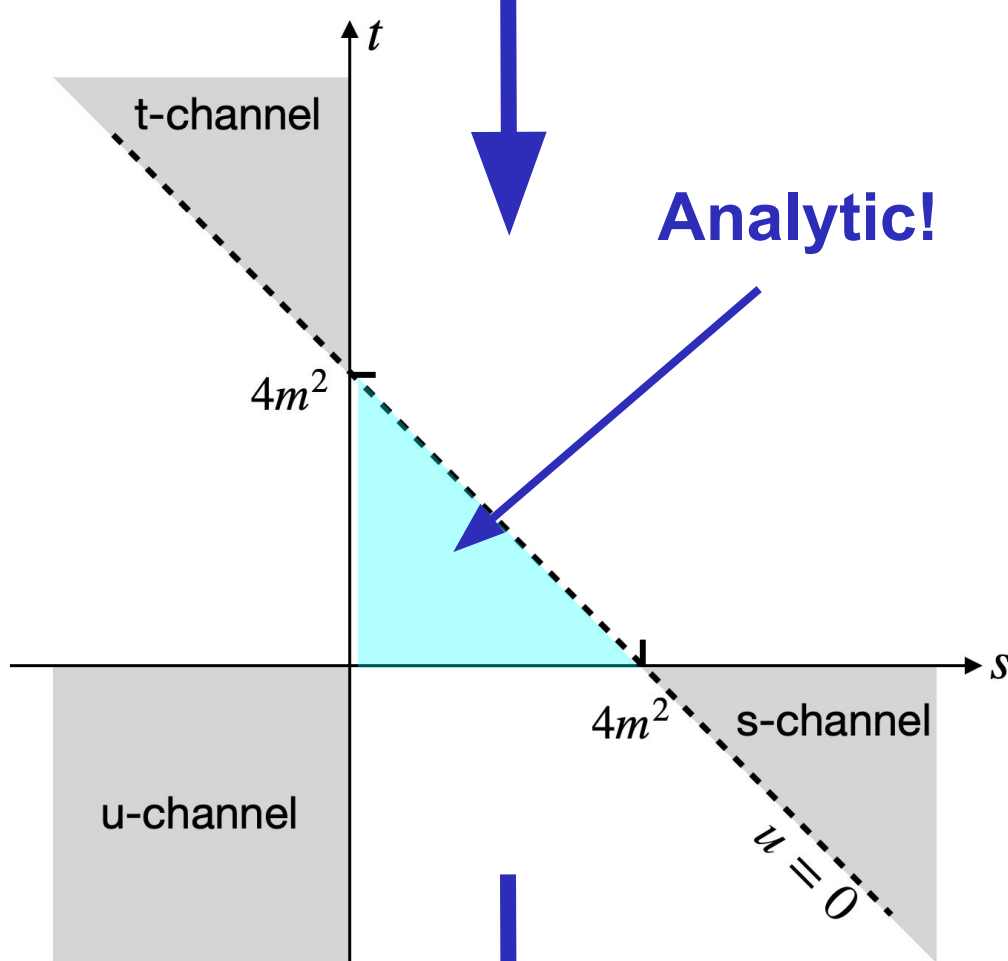
Theory

Unitarity + Locality + Causality
(Analyticity constraints on S-matrix)

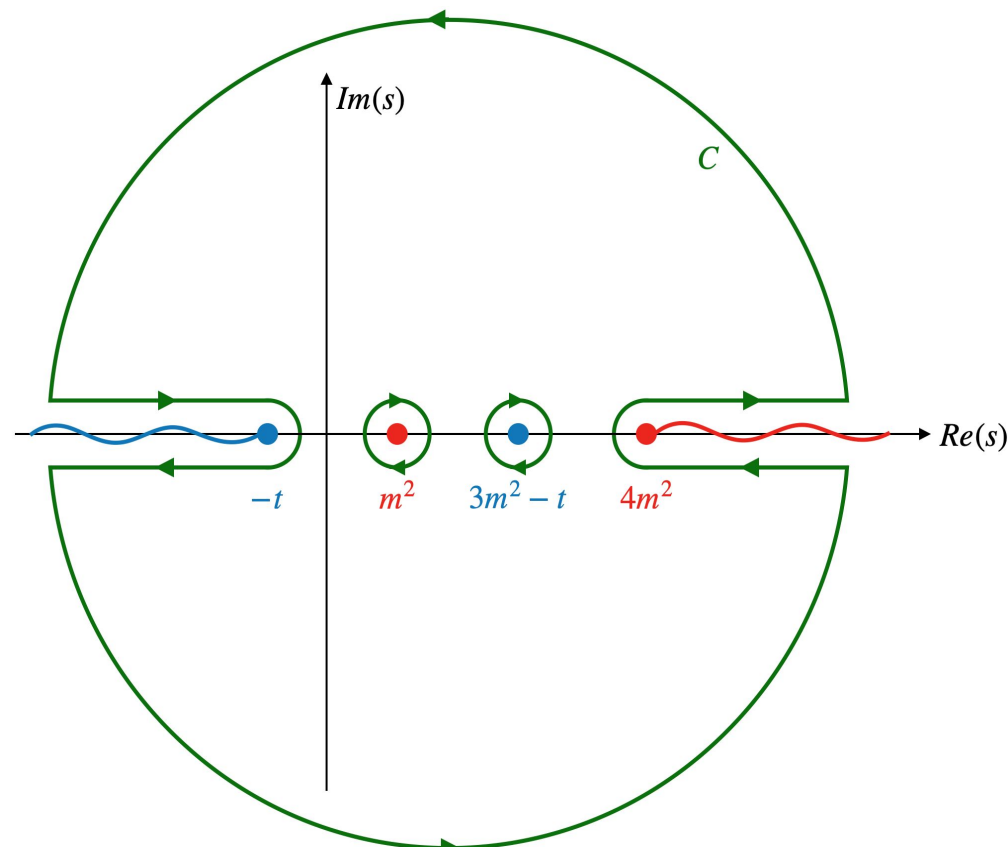
Crossing Symmetry



$$s + t + u = m_1^2 + m_2^2 + m_3^2 + m_4^2$$



Contour Integral



$$\mathcal{A}(s,t) \propto \int_{4m^2}^{\infty} d\mu \left[\frac{\text{Im}\mathcal{A}(\mu, t)}{\mu - s} + \frac{\text{Im}\mathcal{A}(\mu, t)}{\mu - u} \right]$$

$$\partial_s^N \partial_t^M \int_{4m^2}^{\infty} \frac{d\mu}{\pi} \left[\frac{s^2 \text{Im}\mathcal{A}(\mu, t)}{\mu^2 (\mu - s)} + \frac{u^2 \text{Im}\mathcal{A}(\mu, t)}{\mu^2 (\mu - u)} \right] \Big|_{s=t=0} > 0$$

for $N \geq 1, M \geq 0$

— Positivity bounds !

Future Directions

- Impose full crossing symmetry to derive sets of nonlinear bounds
- Derive positivity bounds for theories that violate Lorentz invariance
- Extend into massless limit may provide implications on the theories coupled to gravity