# Imperial College London

# Adapting and Improving Nature Language Processing Methods for Conversations

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### Introduction

In many areas of life decisions are made, problems are tackled, and ideas are developed through discussions (Fig. 1). It is therefore worthwhile to study how discussion performs as a means to reach conclusions: How do ideas evolve over time? What linguistic features do certain speakers use to influence the flow of a discussion? By statistically analysing conversation transcripts and extracting features of conversational structure, we can detect information that is otherwise obscured or unavailable.

This project investigates state-of-the-art data processing methods for conversational text analysis and display.

We base our research on conversational podcast shows due to the wide range of topics, opinions, personalities and conversation styles contained within the transcripts



While initially the aim was to apply existing Natural Language Processing (NLP) techniques specifically to podcasts, our evaluation of said methods within the context of podcast transcripts found that the methods were insufficient. Therefore, we instead shifted our focus to improve existing techniques, and to adapt them specifically for the purpose of conversation analysis. We achieved results that significantly outperform the state of the art.

- Evaluate existing NLP methods when applied to conversations, identify limitations and improve upon those techniques. Specifically, address the fields of topic modelling and dialogue act
- Investigate how to best visualise the evolution of ideas and flow of conversation from podcast transcripts. Specifically, develop 'Discussion Trees': graphical representations of transcripts which give a viewer insight into the structure of a given podcast, and the extent to which different topics were discussed.
- Apply our improved techniques to a large collection of podcast transcripts and publish a corpus of annotated transcripts for future work.

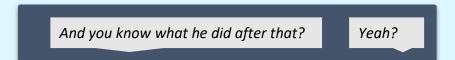
## **Dialogue Act Classification**

#### What is the Goal?

Dialogue acts (DAs) describe the **social function** of any given sentence[5]. For example, the sentence "Hi how are you?" is a **greeting**. Other examples include statements, questions, answers, acknowledgements, and many others. The goal is for a computer to map a set of utterances (sentences) to their appropriate dialogue acts.

#### **Existing Approaches**

All state-of-the-art approaches use recurrent neural networks[6-12], which are algorithms capable of processing sequences of information, such as sequences of words and sequences of sentences at once. This is beneficial as the context of phrases (such as words, sentences) determines their meaning. For example, consider the following sections of conversation:



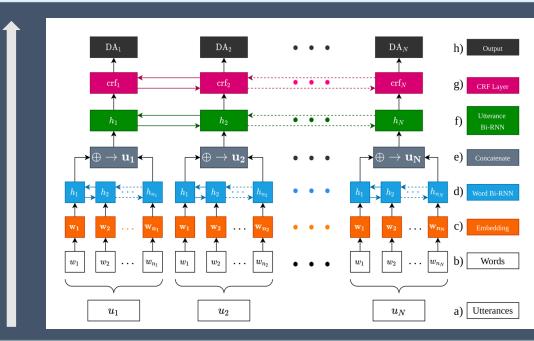


In the left example, "Yeah" is an acknowledgement, prompting the first person to continue. In the right, "Yeah" is an affirmative answer. The context matters, and recurrent neural networks can capture it

We base our work on the specific model shown in Fig. 3. It achieves an accuracy of 79.2%[6]. The state of the art achieves 82.3% accuracy[12]. Both accuracies are found when evaluating the models on the publicly available Switchboard Dialogue Act Corpus[13].

#### Our Improvements

- We fix a bug in the original implementation which caused words adjacent to punctuation to be discarded.
- We update the original model's outdated recurrent neural network component, LSTM cells[14], in favour of the more modern GRU[15] cells, which reduces the complexity of the model, improves accuracy and allows for faster training.
- The original model uses Stanford's GloVe embeddings[16] to turn words into meaningful numbers. We instead use the ConceptNet Numberbatch embeddings[17], which outperform GloVe embeddings significantly[18].
- Overall, we improve the accuracy of the model from 79.2% to 84.6 +- 0.3%, which is currently the state-of-the-art and is higher than the inter-accuracy between the humans creating the dataset[13].



#### Figure 3: Model architecture

- b) Utterances are split into constituent words
- c) Words are embedded into a vector of numbers that represents the meaning of the word. We use the ConceptNet Numberbatch embeddings for this.
- d) For each utterance, words are combined into a set of numbers that represents the whole utterance. These numbers are concatenated into a single vector that can be
- understood as the embedding of the utterance. f) The utterance embedding is fed into another RNN layer that takes the
- context of previous utterances into account.
- g) A conditional random field layer makes the final classification and
- assigns each utterance a dialogue act.
- h) The sequence of dialogue acts is the output.

## **Topic Extraction**

#### What is the Goal?

Given a conversation transcript, we would like to find out which topics are raised and during which intervals of the conversation they are active. A computer-generated example of the topical analysis of a conversation between Twitter CEO Jack Dorsey and podcast host Joe Rogan is shown in Fig. 2 below.

#### **Existing Extraction Methods**

For general text documents, the standard topic extraction method is latent Dirichlet allocation (LDA)[1]. LDA assumes that every document is generated by repeatedly randomly sampling a set of topics and then sampling words within these topics. By determining the most likely distributions fitting this assumption for a given document, the set of topics is extracted. Topic boundaries can be found as the gaps between cohesive distributions[2].

#### **Limitations of Previous Methods**

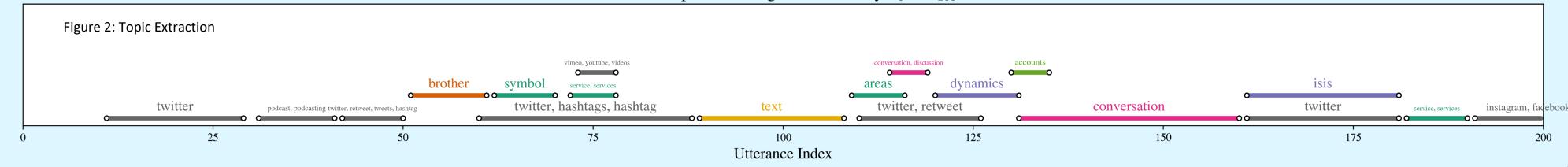
- In conversations a lot of words are not part of a topic: statements of politeness, jokes and acknowledgements diminish the effectiveness of LDA. This means LDA's generative assumption fails.
- LDA is unable to model temporal evolution of topics. It assumes that topic distributions are global across the whole document. For other media such as newspaper articles, this may be a good approximation – for conversations it is not.

Our approach addresses these limitations by adapting the common **key phrase extraction** algorithm *TopicRank*[3] and using a modified version to only extract relevant words.

### **Graph of Embedded Extracted Keywords (GEEK)**

- Our approach is a **graph-based model** that first extracts keywords such as nouns, proper nouns and named entities using pretrained neural networks.
- The range over which each of these keywords is active is found by checking if it or semantically similar keywords are repeated. The similarity of keywords is found as the cosine similarity of word embeddings.
- Certain keywords that fit this pattern but don't describe topics, such as "dude" and "everything" and semantically similar keywords are removed through a
- A graph is then created in which nodes, representing keywords, are connected if their keyword ranges overlap and if they are semantically similar. Connected components then represent topics.
- The state-of-the-art conversation model BayesSeg[2] achieved a windowDiff[4] score of 0.39+/-0.06. We beat this by a significant margin, achieving 0.22+/-0.04 (lower is better).

#### GEEK Topics: Joe Rogan Jack Dorsey $u_0$ to $u_{200}$

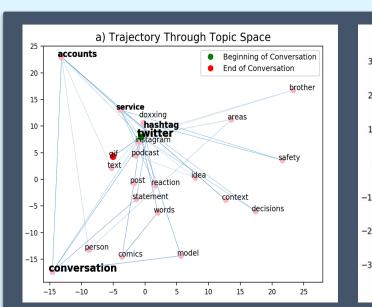


## **Applications of Project**

#### **Visualising Conversation Structure**

#### **Trajectories through Topic Space**

The visualisations in Fig. 4 use ConceptNet word embeddings to structure the layout of keywords in a topic space through which the discussion can be seen to navigate, providing immediate access to the key themes and topics discussed.



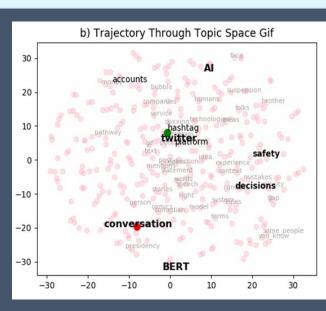


Figure 4 a) A Trajectory Through Topic Space of the first 400 utterances in the Joe Rogan interview of Jack Dorsey.

Figure 4 b) plots the trajectory of the during the podcast as a whole.

#### **Discussion Trees**

A node is plotted for every utterance in a transcript, with its position decided based on the topics it contains: verticallystacked nodes indicate consecutive utterances on the same topic, and horizontal steps between nodes indicate a change of conversation topic. When participants discuss a previously-mentioned topic, a new branch begins from where that topic was first mentioned. A Discussion Tree with many long branches indicates that the speakers covered many new topics in a 'linear' conversation (Fig. 5c); a Discussion Tree with many short branches indicates that the conversation loops back to the same topics (Fig. 5b).

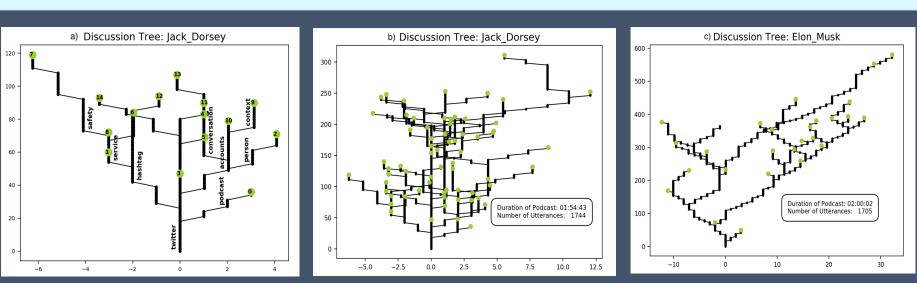


Figure 5: a) The Discussion Tree built from the first 400-utterances of the Joe Rogan interview of Jack Dorsey, with topics of discussion annotated. The full-transcript Discussion Tree of the same interview is given in b), and the fulltranscript Discussion Tree of the Joe Rogan and Elon Musk is given in c), where the difference in tree structures reflects the nature of the conversations.

#### **Further Research Ideas**

One question we could answer is: What makes a Conversation Interesting? If we assert that a podcast being interesting is a necessary condition for popularity, we could use the number of listens and likes of a podcast episode as the popularity metric, and hence perform our linguistic and topical analysis on the most and least popular podcast episodes in our dataset to determine what led one podcast to be more successful than another.

Furthermore, if we were to employ large-scale **image analysis of Discussion Trees** we might extract a set of common patterns or templates in the trees. This could provide the basis of an investigation into **common conversation structures**, and by using our analysis of Dialogue Act usage we could then decipher why such structures arise, for example: what influences caused a meeting to consist of long, intricate discussions rather than quick, concise exchanges?

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