



JRO should check that the organisation is recorded as either high or low risk on the list maintained in the **Ethics Code Due Diligence** shared folder below. If the organisation is not on the list they should contact the Chief Reviewer for their faculty in order for the due diligence to be carried out.

[I:\RS Operations\Ethics Code Due Diligence](#)

## EXEMPT:

All UK Research Councils  
All UK Government Depts  
Other UK, European, and US universities  
All UK Hospitals and NHS Trusts  
EC projects where ICL is not the co-ordinator.  
UK charities registered with the Association of Medical Research Charities (AMRC)

## STEP 1 - CONSULT:

[World Bank list of debarred firms](#)

[EC \(sanctions\)](#)

## IGNORE:

Articles more than **five** years old [unless the issue is currently being raised in more recent press reports].  
Allegations or court cases involving any wrong-doing of **an individual** who works for the organisation [unless there is some level of corporate responsibility for which the organisation has been brought to task].

## STEP 2 - CHECK GOOGLE FOR:

JRO checks there are no research activities involving the following, flagging up (a) potential conflicts of interest the HoD/dept may not be aware of and (b) restrictions or embargos for any country outside EEA, North America, Australia, New Zealand, and Japan (where research is conducted):

- Tobacco research or classified research
- Weapons research or research in alcoholic beverages or research in gambling
- Tax evasion (unlawful tax evasion, not corporate tax avoidance)
- Fraud
- Human Rights
- Falsification of academic research
- Bribe/bribery
- Crime
- Environmental conduct
- Health and safety