Horizon Europe online submission system and Part A forms

This document supplements the Funding and Tenders Portal submission system-based guidance for Part A of a Horizon Europe proposal (generic example) with Imperial-specific information that you as Principal Investigator can provide to a Coordinator (where that Coordinating organisation is external to Imperial).

Proposal ID SEP-210808063

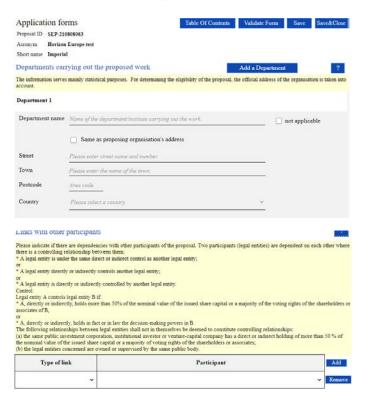
In the proposal Part A, **Participants Section**, the following information will pre-fill when Imperial's Participant Identity Code (PIC) 999993468 is entered by the Coordinator in the previous step. This information is correct and should not be modified.

Acronym Horizon Europe test Short name Imperial Organisation data 999993468 IMPERIAL COLLEGE OF SCIENCE TECHNOLOGY AND MEDICINE Short name: Imperial Address Street SOUTH KENSINGTON CAMPUS EXHIBITION LONDON Town Postcode SW7 2AZ Country United Kingdom Webpage Specific Legal Statuses The information serves mainly statistical purposes. For determining the eligibility of the proposal, the official address of the organisation is taken Logal person yes Public body Non-profit yes Secondary or Higher education establishment SME Data The enterprise data of the organisation is taken from the Participant Registry. Changes to the self-decla performed by the self-registrant or by the LEAR (Legal Entity Appointed Representative) in the Particip Based on the below details from the Participant Registry the organisation is not an SME (small- and medium-sized enterprise) for the call SME self-declared status 08/07/1907 - no unknown

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In the proposal Part A, **Participants Section**, the Imperial Principal Investigator must give the information for "Departments carrying out the proposed work".

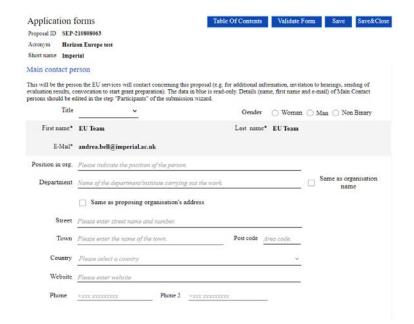
In the "Links with other participants" there is no content to provide for Imperial. Imperial does not have any dependencies (legal entity relationship) with any other organisation to a Horizon Europe proposal. If you see any content entered by the Coordinator in this section for Imperial it is incorrect and must be deleted.



In the proposal Part A, **Participants Section**, the Main contact person for Imperial is the Imperial Principal Investigator.

The Research Services Manager for the relevant Faculty or School of the PI must be added as a Contact Person

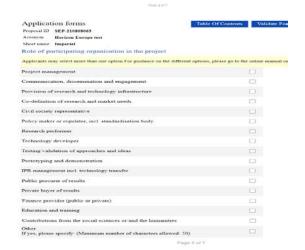
- Brooke Alasya for Faculty of Natural Sciences
- Harriet Hallas for Faculty of Medicine
- Shaun Power for Faculty of Engineering
- David Wilson for Business School



In the proposal Part A, **Participants Section**, Researchers involved in the proposal, enter only those Imperial investigators who are already identified in the applicable Worktribe record. You do not need to enter anyone who you plan to appoint or recruit to the project post-award. This information is collected by the Commission for statistical purposes only and is not relevant to the proposal evaluation, therefore any errors or irregularities are of minimal effect or importance.

In the proposal Part A, **Participants Section**, role of the participating organisation, enter "Research Performer" for Imperial. Add any other roles you deem appropriate. This information is collected by the Commission for statistical purposes only and is not relevant to the proposal evaluation, therefore any errors or irregularities are of minimal effect or importance.





In the proposal Part A, **Participants Section**, enter the publications, projects, activities, infrastructure, equipment content requested

that relates to you as Principal Investigator. There is no generic or boiler plate text for Imperial for this section. It must relate Horizon Europe - generic call

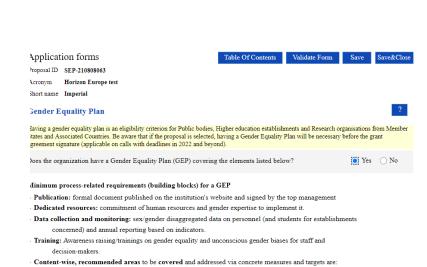
Proposal form Part A notes

specifically to the Imperial team members participating in this proposal.

Application forms	Table Of Contents Validate Form Save Sav	e&Clos
Proposal ID SEP-210808063		
Acronym Horizon Europe tes	t	
Short name Imperial		
List of up to 5 publications, w	idely-used datasets, software, goods, services, or any other achievements relevant to the call or	ontent.
Type of achievement	Short description (Max 500 characters)	Add
	*	
List of up to 5 most relevant pr	evious projects or activities, connected to the subject of this proposal.	
Name of Project or Activity	Short description (Max 500 characters)	Add
Description of any significant i	nfrastructure and/or any major items of technical equipment, relevant to the proposed work.	
Name of infrastructure of equipment	Short description (Max 300 characters)	Add

In the proposal Part A, Participants section, Gender Equality Plan, enter YES in response to "Does the organisation have a Gender Equality Plan?"

https://www.imperial.ac.uk/research-and-innovation/research-office/funder-information/european-commission/horizon-europe/participating-in-horizon-europe/



o work-life balance and organisational culture;
o gender balance in leadership and decision-making;
o gender equality in recruitment and career progression;

o integration of the gender dimension into research and teaching content;
o measures against gender-based violence including sexual harassment.

4. Personal Data

In the proposal Part A, **Ethics section**, the Ethics Issues Table collates responses for all participants to the proposal. With their insight and knowledge of the ethical issues applicable to the research the participating Imperial Principal Investigator and team must assess and provide relevant information to the Coordinator. There is no generic information applicable.

For the questions in the table that refer to "non-EU countries" (questions 4 and 6). the **United Kingdom is a non-EU country**. In the table section 6, respond YES to the question "Will some of the activities be carried out in non-EU countries". But, if there are no ethical issues present in the Imperial research the answer to the next question "Do the activities undertaken in these countries" can be NO (vis-à-vis Imperial). Respond accordingly to the similar questions in section 4 related to data.

Does this activity involve processing of personal data?	O Yes	No	
Does this activity involve further processing of previously collected personal data (including use of preexisting data sets or sources, merging existing data sets)?	○ Yes	No	
Is it planned to export personal data from the EU to non-EU countries? Specify the type of personal data and countries involved	Yes	○ No	
(enter content if applicable. The United Kingdom is a non-EU country).			
Is it planned to import personal data from non-EU countries into the EU or from a non-EU	@ W	O 37	
country to another non-EU country? Specify the type of personal data and countries involved	O Yes	○ No	
(enter content if applicable. The United Kingdom is a non-EU country).			
Does this activity involve the processing of personal data related to criminal convictions or	O 17	@ N	
offences?	○ Yes	O No	
5. Animals			Page
Does this activity involve animals?	○ Yes	No	
6. Non-EU Countries			Page
6. Non-EU Countries Will some of the activities be carried out in non-EU countries?	Yes	○ No	Page
Will some of the activities be carried out in non-EU countries? The United Kingdom is a non-EU country. Activities in Work Packages will be carried out at			
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Will some of the activities be carried out in non-EU countries? The United Kingdom is a non-EU country. Activities in Work Packages will be carried out at			
Will some of the activities be carried out in non-EU countries? The United Kingdom is a non-EU country. Activities in Work Packages will be carried out at	Imperial	College I	
Will some of the activities be carried out in non-EU countries? The United Kingdom is a non-EU country. Activities in Work Packages will be carried out at Kingdom. In case non-UE countries are involved, do the activities undertaken in these countries raise potential ethics issues?	Imperial		
Will some of the activities be carried out in non-EU countries? The United Kingdom is a non-EU country. Activities in Work Packages will be carried out at Kingdom. In case non-UE countries are involved, do the activities undertaken in these countries raise potential ethics issues? It is planned to use local resources (e.g. animal and/or human tissue samples, genetic material live animals, human remains, materials of historical value, endangered fauna or flora samples	Imperial Yes	College I	
Will some of the activities be carried out in non-EU countries? The United Kingdom is a non-EU country. Activities in Work Packages will be carried out at Kingdom. In case non-UE countries are involved, do the activities undertaken in these countries raise potential ethics issues? It is planned to use local resources (e.g. animal and/or human tissue samples, genetic material	Imperial Yes	College I	
Will some of the activities be carried out in non-EU countries? The United Kingdom is a non-EU country. Activities in Work Packages will be carried out at Kingdom. In case non-UE countries are involved, do the activities undertaken in these countries raise potential ethics issues? It is planned to use local resources (e.g. animal and/or human tissue samples, genetic material live animals, human remains, materials of historical value, endangered fauna or flora samples etc.)? Is it planned to import any material (other than data) from non-EU countries into the EU or	Imperial Yes	No No No	
Will some of the activities be carried out in non-EU countries? The United Kingdom is a non-EU country. Activities in Work Packages will be carried out at Kingdom. In case non-UE countries are involved, do the activities undertaken in these countries raise potential ethics issues? It is planned to use local resources (e.g. animal and/or human tissue samples, genetic material live animals, human remains, materials of historical value, endangered fauna or flora samples etc.)? Is it planned to import any material (other than data) from non-EU countries into the EU of from a non-EU country to another non-EU country? For data imports, see section 4.	Imperial Yes	No No No	
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Will some of the activities be carried out in non-EU countries? The United Kingdom is a non-EU country. Activities in Work Packages will be carried out at Kingdom. In case non-UE countries are involved, do the activities undertaken in these countries raise potential ethics issues? It is planned to use local resources (e.g. animal and/or human tissue samples, genetic material live animals, human remains, materials of historical value, endangered fauna or flora samples etc.)? Is it planned to import any material (other than data) from non-EU countries into the EU of from a non-EU country to another non-EU country? For data imports, see section 4.	Imperial Yes Yes	No No No No	
Will some of the activities be carried out in non-EU countries? The United Kingdom is a non-EU country. Activities in Work Packages will be carried out at Kingdom. In case non-UE countries are involved, do the activities undertaken in these countries raise potential ethics issues? It is planned to use local resources (e.g. animal and/or human tissue samples, genetic material live animals, human remains, materials of historical value, endangered fauna or flora samples etc.)? Is it planned to import any material (other than data) from non-EU countries into the EU of from a non-EU country to another non-EU country? For data imports, see section 4. (enter content if applicable. The United Kingdom is a non-EU country).	Imperial Yes Yes	No No No No	

In the proposal Part A, Ethical selfassessment, with their insight and knowledge of the ethical issues applicable to the research the participating Imperial Principal Investigator and team must assess and provide relevant information to the Coordinator. There is no generic information applicable.

https://www.imperial.ac.uk/research-andinnovation/research-office/funderinformation/europeancommission/horizon-europe/participatingin-horizon-europe/

nistrative forms Table Of Contents Validate Form Save Save&0 D SEP-210808063 Horizon Europe test Self-Assessment e entered any issues in the ethics issue table, you must perform an ethics self-assessment in accordance with the guidelines "How to your Ethics Self-Assessment " and complete the table below nension of the objectives, methodology and likely impact in detail the identified issues in relation to: - objectives of the activities (e.g. study of vulnerable populations, etc.) - methodology (e.g. clinical trials, involvement of children, protection of personal data, etc.) - the potential impact of the activities (e.g. environmental damage, stigmatisation of particular social groups, political or adverse consequences, misuse, etc.)

ng characters

5000

ce with ethical principles and relevant legislations

how the issue(s) identified in the ethics issues table above will be addressed in order to adhere to the ethical principles and w one to ensure that the activities are compliant with the EU/national legal and ethical requirements of the country or countries e tasks are to be carried out. It is reminded that for activities performed in a non-EU countries, they should also be allowed in

In the proposal Part A, Security Issues table, with their insight and knowledge of the security and classified information issues applicable to the research the participating Imperial Principal Investigator and team must assess and provide relevant information to the Coordinator. There is no generic information available.

https://www.imperial.ac.uk/research-andinnovation/research-office/funderinformation/european-commission/horizoneurope/participating-in-horizon-europe/

For question 1 in the table, the United Kingdom is a non-EU country. If the proposal involves EU Classified Information, the answer to the second question "Does this activity involve non-EU countries?" is affirmative. Answer the subsequent question as appropriate for the research. If there are no security issues answer accordingly. If relevant to the research, the third question "Do the non-EU countries concerned have a security of information agreement with the EU?" is

affirmative.

https://www.imperial.ac.uk/research-andinnovation/research-office/funderinformation/european-commission/horizoneurope/participating-in-horizon-europe/

Administrative forms	Table Of Contents	Validate Form	Save	ave&Close
Proposal ID SEP-210808063				
Acronym Horizon Europe test				
Security issues table				?
Please indicate, by answering Yes or No to all the questions in the below t might raise security concerns. If an answer is Yes, then indicate in the adja that issue can be found.				
1. EU Classified Information (EUCI) ²			Pa	ige
Does this activity involve information and/or materials requiring prinauthorised disclosure (EUCI)?	rotection against	○ Yes • 1	No	
Does this activity involve non-EU countries?		● Yes ○ N	No	
Do participants from non-EU countries need to have access to	o EUCI?	○ Yes • 1	ν̄ο	
Do the non-EU countries concerned have a security of infor EU?	mation agreement with	the • Yes • 1	Vo	-
2. Misuse			Pa	ıge
Does this activity have the potential for misuse of results?		○ Yes • 1	ЙO	
3. Other Security Issues			Pa	ge
Does this activity involve information and/or materials subject to n If yes, please specify: (Maximum number of characters allowed: 100		ons? O Yes 💿 1	ν̄ο	
Are there any other security issues that should be taken into specify: (Maximum number of characters allowed: 1000)	consideration?If yes, ple	ease O Yes N	lo .	
² According to the Commission Decision (EU, Euratom) 2015/444 of 13 March 2015	on the security rules for protec	ting EU classified infor	nation, European	Union

*According to the Commission Decision (EU, Euraton) 2015/444 of 13 March 2015 on the security rules for protecting EU classified information, European Union classified information (EUC) means any information or material estignated by an EU security classification, the unauthorised disclosure of which could cause varyie degrees of prejudice to the interest of the European Union or of one or more of the Member States.

*Classified background information is information that is a leasely classified by a country and ori international organisation and or the EU and is going to be used by project. In this case, the project must have in advance the authorisation from the originator of the classified information, which is the entity (EU institution, EU Mer State, third state or international organisation) under whose authority the classified information has been generated.

4EU classified foreground information is information (documents/deliverables/materials) planned to be generated by the project and that needs to be protected from unauthorised disclosure. The originator of the EUCI generated by the project is the European Commission.